European Central Bank

Overview of Public Access Requests (2011-2012)

Reference	Type of Applicant (1)	Submission via	Date of receipt	Date of reply	Extension of deadline	Reason for extension	Request for clarification	Request (quote)	Consultation of 3rd parties	Outcome	Exceptions of ECB Decision ECB/2004/03/ Explanation
2011											
PA/2011/01	media	Online form (3)	03/02/2011	28/02/2011	-		-	Diary/daybook of the ECB President for the months of March, April, May, October and November 2010.	N/A	No Disclosure	Article 3(a)
PA/2011/02	other	Online form (3)	11/02/2011	09/03/2011	-		-	Terms published on the Portfolio management system public tender	N/A	Partial Disclosure	Article 4 (1)(b)
PA/2011/03	media	Online form (3)	04/05/2011	01/06/2011	-		-	ECB documents regarding (i) the Securities Markets Programme, including details of government securities purchased in May-November 2010 and (ii) assessments of the programme prepared during the same period.	N/A	No Disclosure	2nd indent of Article 4(1)(a) and Article 4(3)
PA/2011/04	interest group	email	31/05/2011	01/07/2011	-		-	(i) information on whether the ECB made any loan available to or granted liquidity assistance, but particularly ELA or LoLR, to HBoS between 16/09/2008 and 19/01/2009, and, if so, details on and amounts of such loan or assistance, and (ii) ECB documents and contracts in connection with such loans or liquidity assistance.	N/A	No documents	
PA/2011/05	researcher	email	21/07 and 28/08/2011	22/09/2011	-		Yes	(i) implementing rules on public access adopted by the ECB (other than Decision 2004/3) to implement Regulation 1049/2001; (ii) Texts and dates of the confirmatory decisions adopted by the ECB	N/A	Partial Disclosure	Article 4(1)(b)
PA/2011/06	media	email	17/08/2011	07/09/2011	-		-	Letters sent by the ECB to the Italian and Spanish governments in August 2011	N/A	No Disclosure	2nd indent of Article 4(1)(a)
PA/2011/07	interest group	email	16/08/2011	13/09/2011	-		-	Documents/information on the transactions related to the buying of State bonds for about 22 billion euro, and in particular: (i) who exactly voted in favour of these transactions, who against and who was neutral; (ii) reasoning for these transactions; (iii) complete list of the transactions (seller, price, date, other conditions)	N/A	No Disclosure	(i) 1st indent Article 4(1)a; (ii) publicly avalable; (iii) 2nd indent Article 4(1)(a)
PA/2011/08	researcher	email	25/08/2011	20/09/2011	-		-	Information/documents on the members of the Committee established following Article 11.3 of the Statute of the ESCB	N/A	Information provided (4)	
PA/2011/09	researcher	email	13, 15 & 26/09/2011	05/10/2011	-		-	(i) letter from Mr Giscard d'Estaing, with annex, requesting a meeting to present the Committee's report on "Un programme pour l'action" 1986-1995; (ii) Documents on the role of the Committee for the Monetary Union of Europe in the drafting of the ESCB Statute; (iii) Documents on the possible candidature of Mr Giscard d'Estaing to the position of ECB President	N/A	Disclosure	
PA/2011/10	interest group	email	29/09/2013	28/10/2011	-		-	Documents/agreements underlying the TARGET2 balances between the Bundesbank and the GIPS countries	N/A	Disclosure	
PA/2011/11	lawyer	email	22/11/2011	22/11/2011	-		-	Committee of Governors of the Central Banks of the Member States of the European Economic Community, Commentary on the draft Statute of the European System of Central Banks and of the European Central Bank, 29.4.1991	N/A	Disclosure	
PA/2011/12	researcher	mail	22/12/2011 & 13/01/2012	10/02/2012 & 08/03/2012	1	Number of documents	Yes	(i) ECB recruitment database: data on campaign number, job title, division, type of contract offered, candidate gender, age and nationality; (ii) ECB mobility database: data on previous career development; (ii) anonymised CVs of ECB staff, in their existing form and including specific agent numbers		Partial Disclosure	Article 4(1)b
PA/2011/13	media	www.AsktheEU.org	09/12/2011	09/01/2012	-		-	Any and all communications from the ECB addressed to the Irish Finance Minister (or his direct office) in the month of November 2010	N/A	Partial Disclosure	7th indent of Article 4(1)(a)

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2012										
PA/2012/01	interest group	Online form (3)	27/01/2012	22/02/2012	-	-	All correspondence, emails, written records, meeting minutes and any other documents relating to Mr Jean-Claude Trichet's application to the ECB for advice on all his new private or professional activities since leaving the Governing Council, and all such documents relating to the ECB's response	N/A	Information provided (4)	Article 4(3)
PA/2012/02	other	www.AsktheEU.org	19/01/2012	14/02/2012	-	-	Any and all communications from the ECB addressed to the Spanish Finance Minister (or his direct office) in the 40 days running up to 12 May 2010	N/A	No documents	
PA/2012/03	interest group	email	14/02/2012	13/03/2012	-	-	i) advice given by the ECB's ethics adviser, including written guidance provided to the Governing Council or to the President, on Mr Draghi's membership of the Group of Thirty; ii) written communication on this matter to and from the ethics officer of the ECB; iii) list of external mandates of the President as provided for in article 3.6 of the Code of Conduct for Members of the Governing Council'	N/A	Disclosure	i) and ii) no documents
PA/2012/04	researcher	Online form (3)	14/02/2012	16/02/2012	-	-	Committee of Governors, Draft Statute of the European System of Central Banks and of the European Central Bank, Europe, Doc No. 1669/1670, Brussels 8. Dec. 1990 Committee of Governors, The implications and lessons to be drawn from the recent Exchange Rate Crisis, 21. April 1993	N/A	Disclosure	
PA/2012/05	researcher	email	16/02/2012	14/03/2012	-	-	Legal basis for (i) international payments in the Eurosystem flow through accounts that banks hold with their central banks, rather than via private sector banking institutions; (ii) the rules for the accounting of TARGET debt and liabilities in the balance sheets of the national central banks; (ii) the provisions stipulating that TARGET balances shall be remunerated at the main refinancing rate.	N/A	Disclosure	
PA/2012/06	other	www.AsktheEU.org	28/02/2012	14/03/2012	-	-	Consideration given by and advice sought by the ECB in respect of competition concerns before taking the decision to issue 3-year Longer Term Refinancing Operation funds in December 2011. If the ECB considered the effect of cheap long term funding to one commercial entity, namely banks, and its distorting effect on other commercial entities in Member states	N/A	No documents	
PA/2012/07	other	Online form (3)	22/03/2012	23/04/2012	-	-	(i) distribution of credit to euro-member states and credit institutions, relating to "assets 5" in the weekly financial statement of the ECB "Lending to euro area credit institutions related to monetary policy operations denominated in euro", (ii) quality of collateral, separated per euro-member state and per credit institution. The separation should be based on whether collateral was already accepted before 8 December 2011 or not; (iii) amount of sovereign guaranteed, uncollateralised bank debt, separated per euro-member state and per credit institution, which is accepted as collateral for ECB financing operations and which has been pledged to the ECB for that reason	N/A	No Disclosure	2nd and 7th indent of Article 4(1)(a) and 1st indent of Article 4(2)
PA/2012/08	media	www.AsktheEU.org	03/04/2012	25/04/2012	-	-	(i) all requests by the Irish government to renegotiate the terms of the promissory notes and; (ii) the negotiation documents submitted by the Irish government to the ECB".	N/A	No documents	

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PA/2012/09	member of national parliament	email	27/04/2012	30/05/2012	-		-	(i) distribution of credit to euro-member states and credit institutions, relating to "assets 5" in the weekly financial statement of the ECB "Lending to euro area credit institutions related to monetary policy operations denominated in euro"; (ii) quality of collateral, separated per euro-member state and per credit institution. The separation should be based on whether collateral was already accepted before 8 December 2011 or not; (iii) amount of sovereign guaranteed, uncollateralised bank debt, separated per euro-member state and per credit institution, which is accepted as collateral for ECB financing operations and which has been pledged to the ECB for that reason	N/A	No Disclosure	2nd and 7th indent of Article 4(1)(a) and 1st indent of Article 4(2)
PA/2012/10	other	email	04/05/2012	06/06/2012	-		-	The legal basis according to which the balances in TARGET2 at the end of the day are reflected in the books of the participating central banks	N/A	Disclosure	
PA/2012/11	European institution (2)	email	24/05/2012	01/06/2012	-		-	Draft International Agreement on a Reinforced Economic Union; ECB comments on the Draft Treaty on a Reinforced Economic Union; ECB Comments on the articles - Draft Treaty on Stability, Coordination and Governance in the Economic and Monetary Union (dated 10.01.12 - 19 h); Letter of Mr Jörg ASMUSSEN (dated 12 January 2012).	N/A	No Disclosure	4th indent of Article 4 (1) (a) and Article 4.3 of Regulation (EC) n.° 1049/2001
PA/2012/12	other	email	15/06/2012	13/07/2013	-		-	Agreement between the ECB and Greece related to the bond exchange	N/A	No Disclosure	2nd and 7th indent of Article 4(1)(a)
PA/2012/13	lawyer	email	21/06/2012	12/07/2012	-		ī	Memorandum of Understanding on an Intra-ESCB Dispute Settlement Procedure	Yes	Disclosure	
PA/2012/14	other	www.AsktheEU.org	12/07/2012	26/07/2012	-		1	Documents regarding "teams employing agent-based modelling"	N/A	No documents	
PA/2012/15	media	www.AsktheEU.org	17/07/2012	26/07/2012	-		-	A schedule of all documents and correspondence submitted by the lrish Government in relation to the budget 2013, including the date of submission of the documents and correspondence and their title and copies of all documents and correspondence submitted by the Irish Government	N/A	No documents	
PA/2012/16	other	www.AsktheEU.org	19/07/2012	26/07/2012	-		-	A schedule of all documents and correspondence submitted by the Spanish Government in relation to the Budget 2013, including the date of submission of the documents and correspondence, their title and copies of all documents and correspondence submitted by the Spanish Government	N/A	No documents	
PA/2012/17	media	www.AsktheEU.org	20/08/2012	15/10/2012	1	number of documents and consultation of third parties	-	(i) all communications between the ECB and the Irish Finance Ministry in October and November 2010, including communications from Mr Trichet, any communications from the Irish Finance Ministry and any communication at any level to/from the ECB; (ii) all letters communicated to the Irish Finance Minister or his Ministry by the ECB in the years 2009, 2010 and 2011	Yes	Partial Disclosure	2nd and 7th indent of Article 4(1)(a), Article 4(1)(b) and Article 8
PA/2012/18	other	email	27/08/2012	19/09/2012	-		-	All communications from the ECB addressed to the Irish finance minister (or his direct office) in the month of November 2010	N/A	Partial Disclosure	2nd and 7th indent of Article 4(1)(a)
PA/2012/19	media	www.AsktheEU.org	03/09/2012	01/10/2012	-		-	All communications referring to a previous public access request made by the same applicant on 9 December 2011 (PA/2011/17)	N/A	Information provided (4)	3rd and 7th indent of Article 4(1)(a)
PA/2012/20	lawyer	email	09/10/2012	07/11/2012	-		-	Annex to the swap agreement between the Eurosystem and the Hellenic Republic from 2012 in which the amount of the Eurosystem holdings is listed	N/A	No Disclosure	4th and 7th indent of Article 4(1)(a)

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PA/2012/21 (5)	other	other	10/10/2012	06/11/2012	·	-	(i) documentation used in the preparation of the Decisions of the ECB of 27 February 2012 (ECB/2012/2) and of 5 March 2012 (ECB/2012/3); (ii) list of Greek bonds held by the ECB, directly or indirectly, immediately before and immediately after the March 2012 securities swap operation organised by Greece, distinguishing between the securities held as "collateral" within the framework of the ESCB, and the securities held following purchases on the secondary market; (iii) list of Greek bonds eligible after 5 March 2012 and the details of "the provision by the Hellenic Republic to NCBs of a collateral enhancement in form of a buy-back scheme" which was requested by the ECB for the eligibility of the securities	N/A	Information provided (4)	
PA/2012/22	other	www.AsktheEU.org	20/10/2012	25/10/2012	-	-	Any and all communications from the ECB addressed to the Irish Finance Minister (or his direct office) in the month of November 2010	N/A	Partial Disclosure	7th indent of Article 4(1)(a)
PA/2012/23	media	www.AsktheEU.org	06/12/2012	10/01/2013	-	-	(i) all documents relating to the Anglo Irish Promissory notes; (ii) all correspondence between the central bank and interested parties in relation to the Anglo Irish Promissory notes; (iii) any requests from the Irish Government in relation to the Anglo Irish Promissory notes	N/A	No Disclosure	2nd and 7th indent of Article 4(1)(a)
PA/2012/24	other	www.AsktheEU.org	07/12/2012	07/01/2013	=	-	Correspondence between the ECB and the Spanish government related to the bailout for the year 2012	N/A	No documents	

⁽¹⁾ Based on the info provided by the applicants in the application form $% \left\{ 1,2,...,n\right\}$

⁽²⁾ Consultation regarding a public access request for ECB documents received by an EU institution

⁽³⁾ Online form available at http://www.ecb.europa.eu/ecb/history/archive/intro/html/requestform.en.html

⁽⁴⁾ Information on the content of the documents requested was provided

⁽⁵⁾ Treated as a request for information